

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not Yet Assigned
Group : Not Yet Assigned
Applicants : Michael J. Yellin et al.
Serial No. : Not Yet Assigned
Filed : Concurrently herewith
For : THERAPEUTIC APPLICATIONS FOR THE ANTI-T-
BAM (CD40-L) MONOCLONAL ANTIBODY 5C8



New York, New York
June 29, 1999

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)

applicants make of record the following documents*:

1. United States patent: 5,474,771
Patentee: Lederman et al.
Issue date: December 12, 1995
2. United States patent: 5,540,926
Patentee: Aruffo et al.
Issue date: June 30, 1996.
3. United States patent: 5,674,492
Patentee: Armitage et al.
Issue date: October 7, 1997.
4. United States patent: 5,677,165
Patentee: de Boer et al.
Issue date: October 14, 1997.

* For the Examiner's convenience, applicants have enclosed a completed Form PTO-1449, listing these documents.

5. United States patent: 5,683,693
Patentee: Noelle et al.
Issue date: November 4, 1997.
6. United States patent: 5,747,037
Patentee: Noelle et al.
Issue date: May 5, 1998.
7. United States patent: 5,833,987
Patentee: Noelle et al.
Issue date: November 10, 1998
8. PCT patent application: WO 93/08207
Publication date: April 29, 1993.
9. PCT patent application: WO 93/09812
Publication date: May 27, 1993.
10. PCT patent application: WO 94/04570
Publication date: March 3, 1994.
11. PCT patent application: WO 95/06481
Publication date: March 9, 1995.
12. PCT patent application: WO 95/06666
Publication date: March 9, 1995.
13. PCT patent application: WO 95/09653
Publication date: April 13, 1995.
14. PCT patent application: WO 96/23071
Publication date: August 1, 1996.
15. PCT patent application: WO 96/28568
Publication date: September 19, 1996.
16. PCT patent application: WO 96/40246
Publication date: December 19, 1996.
17. PCT patent application: WO 97/17446
Publication date: May 15, 1997.

Articles

Alderson, M.R. et al. "CD40 Expression By Human Monocytes: Regulation By Cytokines And Activation Of Monocytes By The Ligand For CD40", *J. Exp. Med.* 178:669-674 (1993).

Bajorath J. et al. "Identification of Residues on CD40 and Its Ligand Which Are Critical for the Receptor-Ligand Interaction", *Biochemistry* 34:1833-1844 (1995).

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Through the Inhibition of Interleukin 12 Secretion", *J. Exp. Med.* 183: 693-698 (1996).

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Yellin, M.J. et al. "FASEB J. (March 10, 1995) 9(4):A774, Abstract No. 4483 - Oral presentation at FASEB Meeting in Atlanta, Georgia" (1995).

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Yellin M. J. et al. "In situ Characterization of CD40L and CD40 Expression in Glomerulonephritis (GN)", Abstract May 1, 1996: ASBMB/ASIP/AAL Joint Meeting.

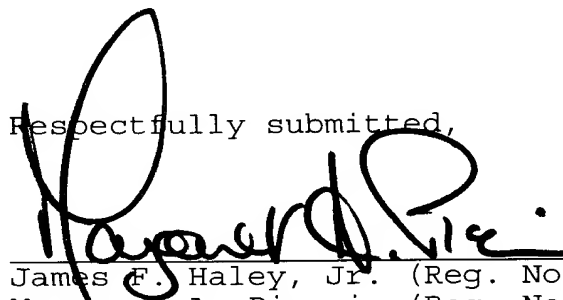
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The listed documents were cited by applicants or the Examiner in parent application Serial No. 08/637,323, filed April 26, 1996, from which the present application claims priority under 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. § 1.98(d), copies of the cited documents are not enclosed.

Applicants respectfully request that the above-cited documents be (1) fully considered by the Examiner during examination of this application and (2) printed on any patent issuing from this application. In addition, applicants request that a copy of the enclosed Form PTO-1449, duly initialed by the Examiner, be forwarded to the undersigned with the next communication in this application.

Respectfully submitted,



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FORM PTO-1449

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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